



U.S. PATENT APPLICATION NO. 09/782,941
DOCKET NO. T9089

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: EUGENE F. ROCK
SERIAL NO.: 09/782,941
FILED: 2/14/01
CONFIRM. NO.: 2326
FOR: COAXIAL HELICOPTER
ART UNIT: 3641
EXAMINER: Dinh, Tien Quang
DOCKET NO.: T9089

CERTIFICATE OF DEPOSIT
UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, under 37 C.F.R. § 1.8 on the date indicated below and is addressed to Assistant Commissioner of Patents, Washington, D.C. 20231.

Judy Anderson
Judy Anderson

Dec. 27, 2002
Date of Deposit

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term in accordance with 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof)

13
Suppl
ID
Date
11/5

Assistant Commissioner of Patents

Page 2

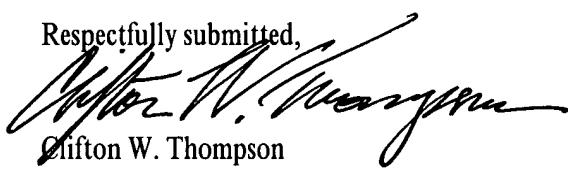
which was not previously submitted to, or cited by, the Patent Office is also enclosed.

For all listed references that are not either in the English language, or accompanied by a translation into English, a concise explanation of relevance as required under 37 C.F.R. § 1.98(a)(3) is enclosed attached to each.

Please charge any additional fees or credit any overpayment to Deposit Account No. 20-0100.

DATED this 27th day of December, 2002.

Respectfully submitted,



Clifton W. Thompson
Attorney for Applicant
Registration No. 36,947

THORPE, NORTH & WESTERN, L.L.P.
P.O. Box 1219
Sandy, Utah 84091-1219
Telephone (801) 566-6633

CWT:ja

H:\FILES\T9000\T9089\T9089\Supp IDS Affidavit 12 27 02.wpd



PATENT APPLICATION
DOCKET NO. T9089

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Eugene F. Rock
SERIAL NO.: 09/782,941
FILED: February 14, 2001
FOR: COAXIAL HELICOPTER
ART UNIT: 3644
EXAMINER: Dinh, Tien Quang
DOCKET NO.: T9089

CERTIFICATE OF DEPOSIT
UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, under 37 C.F.R. § 1.8 on the date indicated below and is addressed to Assistant Commissioner of Patents, Washington, D.C. 20231.

Judy Anderson
Dec. 27, 2002

Date of Deposit

PROMPTNESS CERTIFICATE UNDER 37 C.F.R. §1.97(e)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

X I hereby certify that each item contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

— I hereby certify that no item contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

RECEIVED
JAN 03 2003
GROUP 3600

Assistant Commissioner for Patents
Page -2-

Please charge any additional fees or credit any overpayment to Deposit Account No. 20-0100.

DATED this 27th day of December, 2002.

Respectfully submitted,



Clifton W. Thompson
Attorney for Applicant
Registration No. 36,947

THORPE NORTH & WESTERN, L.L.P.
Customer No. 20,551
P.O. Box 1219
Sandy, Utah 84091-1219
Telephone (801) 566-6633

CWT:ja

Enclosures

H:\FILESVT9000\T9089\T9089\T9089 Promptness cert 12 27 02.wpd